

Update on SISEP and Scaling Up

TA&D Conference 2009

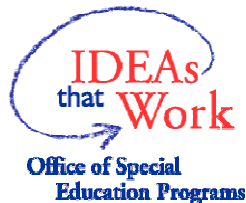
**Dean Fixsen, Karen Blase,
Rob Horner, and George Sugai**

**University of North Carolina – Chapel Hill
University of Oregon
University of Connecticut**



UNC

FPG CHILD DEVELOPMENT INSTITUTE

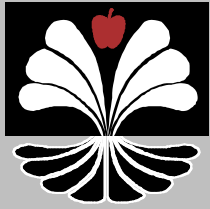


**State Implementation
& Scaling-up
of Evidence-based Practices**



Scaling Up

The goal of scaling up evidence-based practices and other innovations is to produce benefits to society.



Scaling Up

Scaling up is achieved when at least 60% of the students/ schools that could benefit from an innovation have full and effective access to that innovation.



SISEP Center Update

State Implementation and Scaling up of Evidence-based Practices (SISEP)

www.scalingup.org

- **DoE and OSEP Leaders**
- **Project Officer: Jennifer Doolittle**



Scaling Up

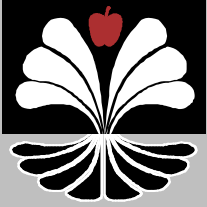
The SISEP Center works with States to guide the capacity development process to scale up evidence-based practices in education

Four years of intensive and focused activity



Capacity Development

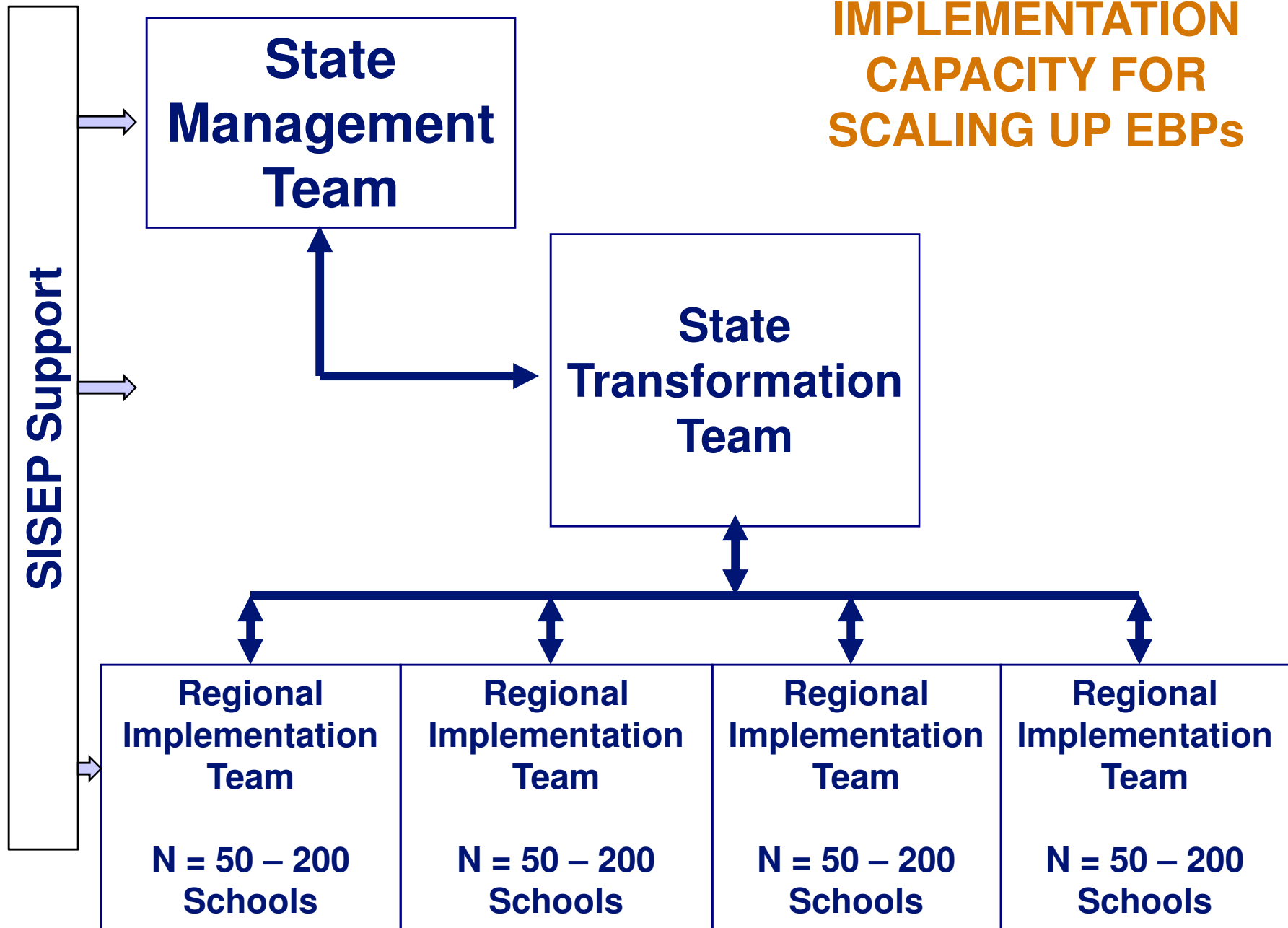
- **To scale up interventions we must *first scale up implementation capacity***
- **Building implementation capacity is essential to maximizing the use of EBPs and other innovations**
- **Large scale, real time change**



Implementation

- **The SISEP Center is now using implementation science and practice at a new level**
- **Implementation of “scaling up” in a State (rather than implementation of “evidence-based practices” in schools)**

**IMPLEMENTATION
CAPACITY FOR
SCALING UP EBPs**





Stages of Implementation

Implementation occurs in stages:

■ **Exploration**

■ **Installation**

■ **Initial Implementation**

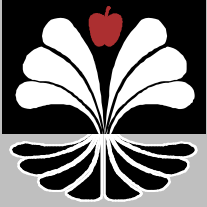
■ **Full Implementation**

■ **Innovation**

■ **Sustainability**

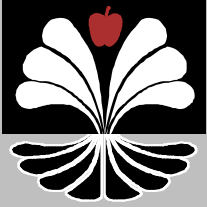
2 – 4 Years

**SISEP and
OSEP starting
November 2007**



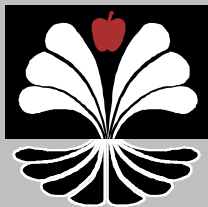
Selection Criteria

- **The State has documented implementation of an evidence-based curriculum/instruction initiative or a behavior support program.**
- **The State has demonstrated committed leadership at the State level.**
- **The State is willing to dedicate roughly \$2 million a year to scaling up evidence-based practices.**



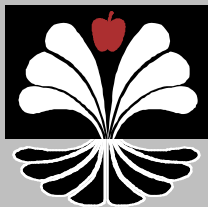
Selection Criteria

- **The State is willing to participate in and contribute to a community of practice.**
- **The State has a statewide data collection system in place.**



4-Month Exploration Process

- **Phone call #1: 34 States (Feb 2008)**
- **Phone call #2: 24 States**
- **10-page application: 16 States**
- **Ratings re: criteria**
- **On-site “mutual interviews”**
- **Selection of four “active” (IL, MI, MN, OR) and two “formative” (MO, VA) States (May 2008)**



EBPs to Scale Up

- **School-Wide Positive Behavior Support**
- **Response to Intervention**
- **Literacy**
- **Drop Out Prevention**



Stages of Implementation

Implementation occurs in stages:

■ **Exploration**

■ **Installation**

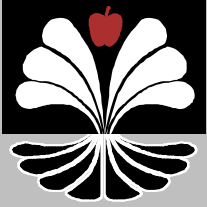
■ **Initial Implementation**

■ **Full Implementation**

■ **Innovation**

■ **Sustainability**

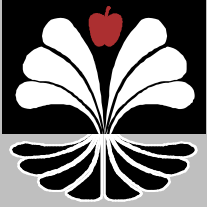
2 – 4 Years



8-Month Installation Process

Preparation for Initial Implementation of Scaling Up a Statewide Implementation Infrastructure

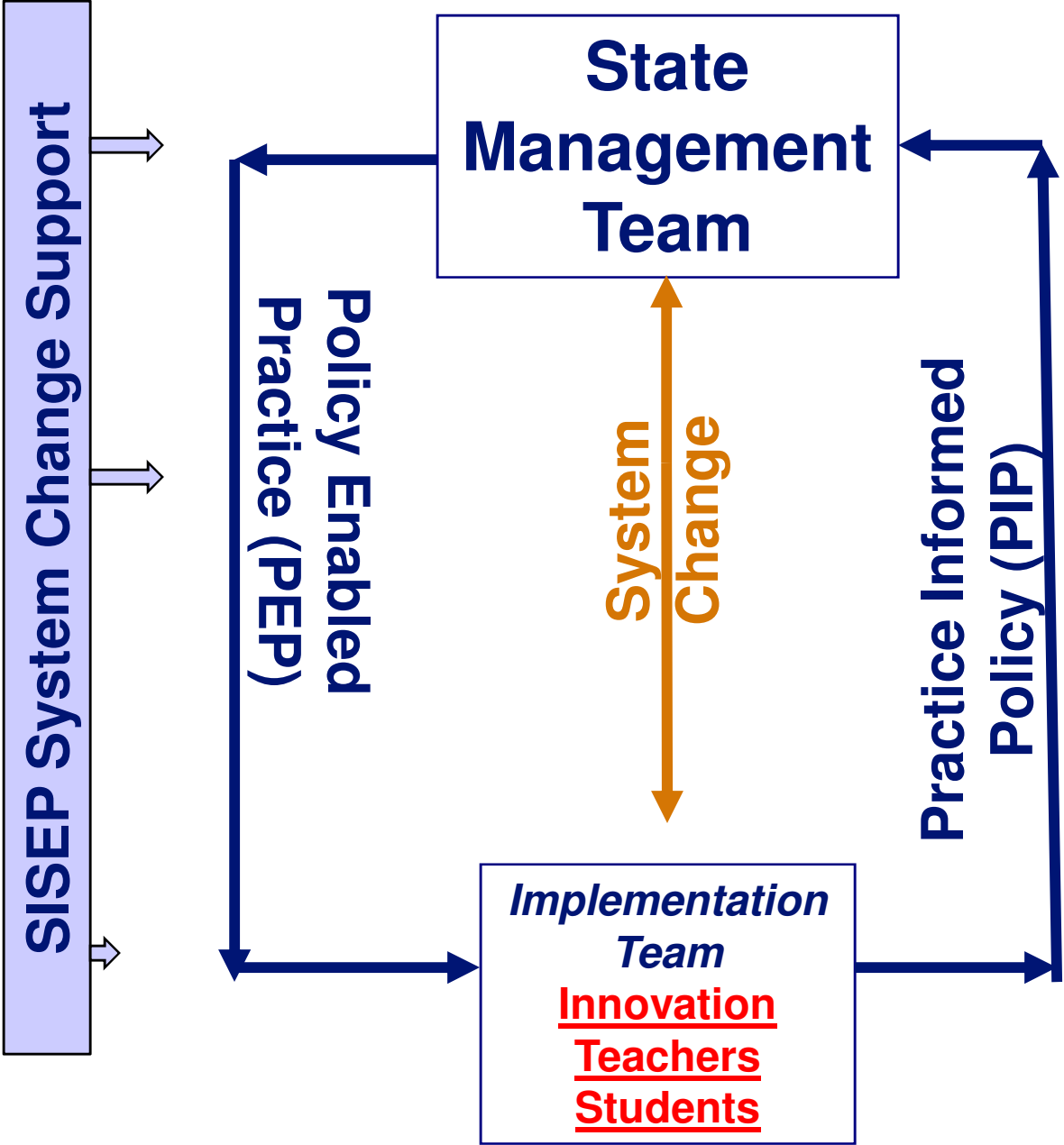
- 1. State Management Team**
- 2. Secure the required staff (N = 11)**
- 3. Select a Transformation Zone**

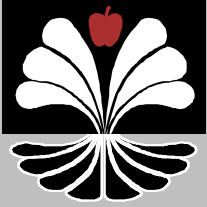


State Management Team

1. State Management Team (SMT)

- Monthly meeting of SISEP and SMT (began September 2008)
 - Broader and deeper understanding of scaling up and implications for the State
 - Fund 11 positions – 2 full time and 9 at 50% or more devoted to scaling up
 - Develop working relationships – prepare for serious problem solving





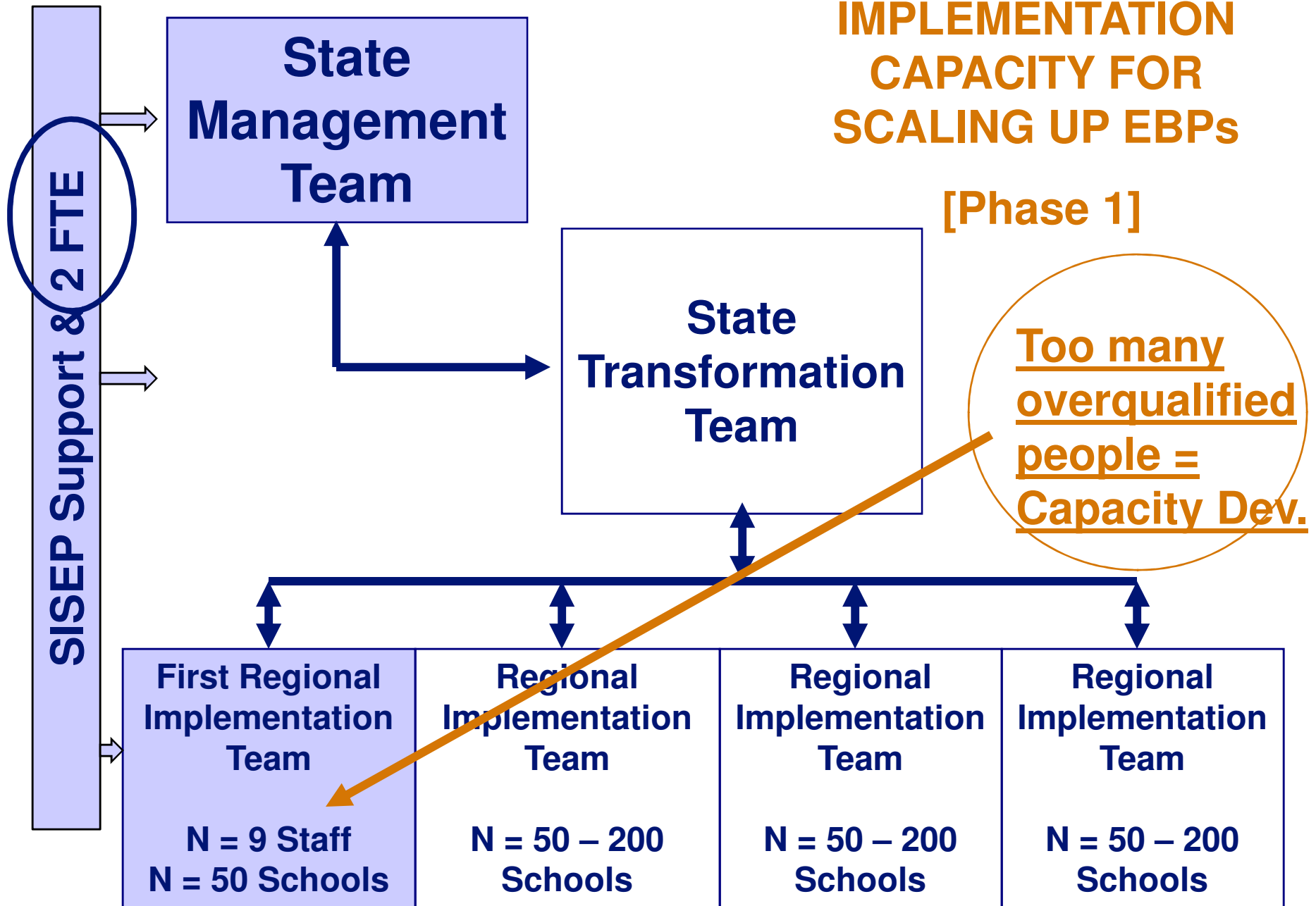
8-Month Installation Process

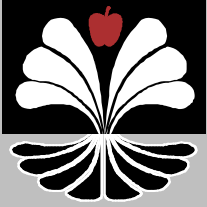
2. Secure the required staff (N = 11 / State)

- 2 State Transformation Specialists (4 by October 2008, 6 by March 2009)
 - Barbara Sims (IL); Beth Steenwyk & Jan Oord (MI), Cammy Lehr (MN), Carol Sadler & Marick Tedesco (OR)
- 9 Member Regional Implementation Team (36 by March 2009)

IMPLEMENTATION CAPACITY FOR SCALING UP EBPs

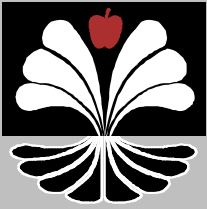
[Phase 1]



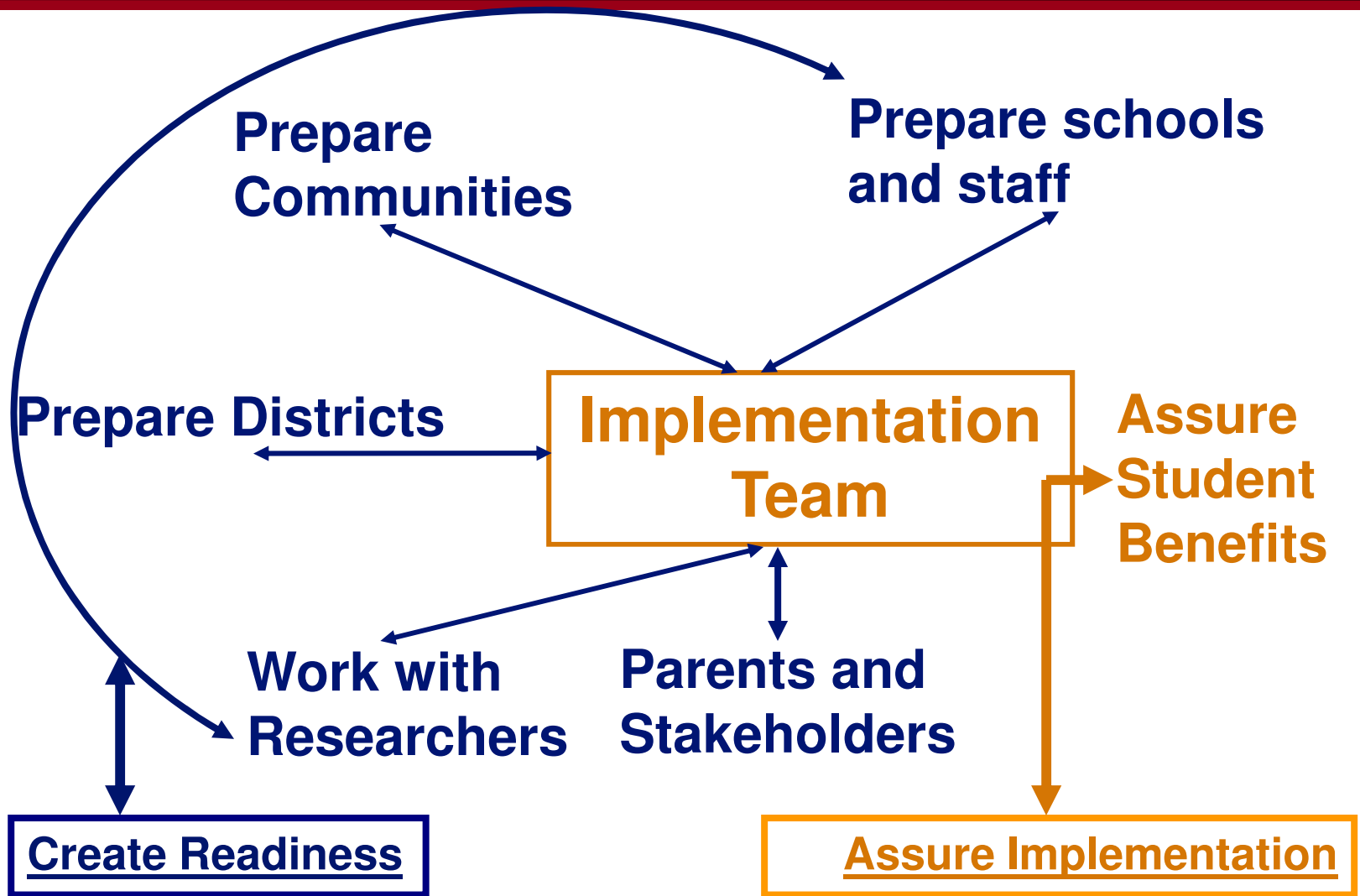


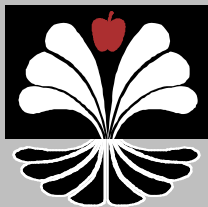
Implementation Team

- A group that knows the innovation very well (formal and practice knowledge)
- A group that knows implementation very well (formal and practice knowledge)
- A group that knows improvement cycles to make intervention and implementation methods more effective and efficient over time



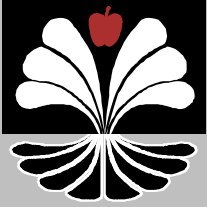
Implementation Team





Implementation Team

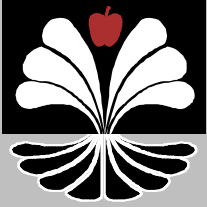
- ***Scaling Up and Implementation Institute* (March 2009)**
- **Knowledge, skills, and abilities of members that can be taught and learned (move from the extraordinary to the ordinary)**
- **State structures, roles, and functions that are flexible and embedded in “education as usual”**
 - **Key contracting role?**



8-Month Installation Process

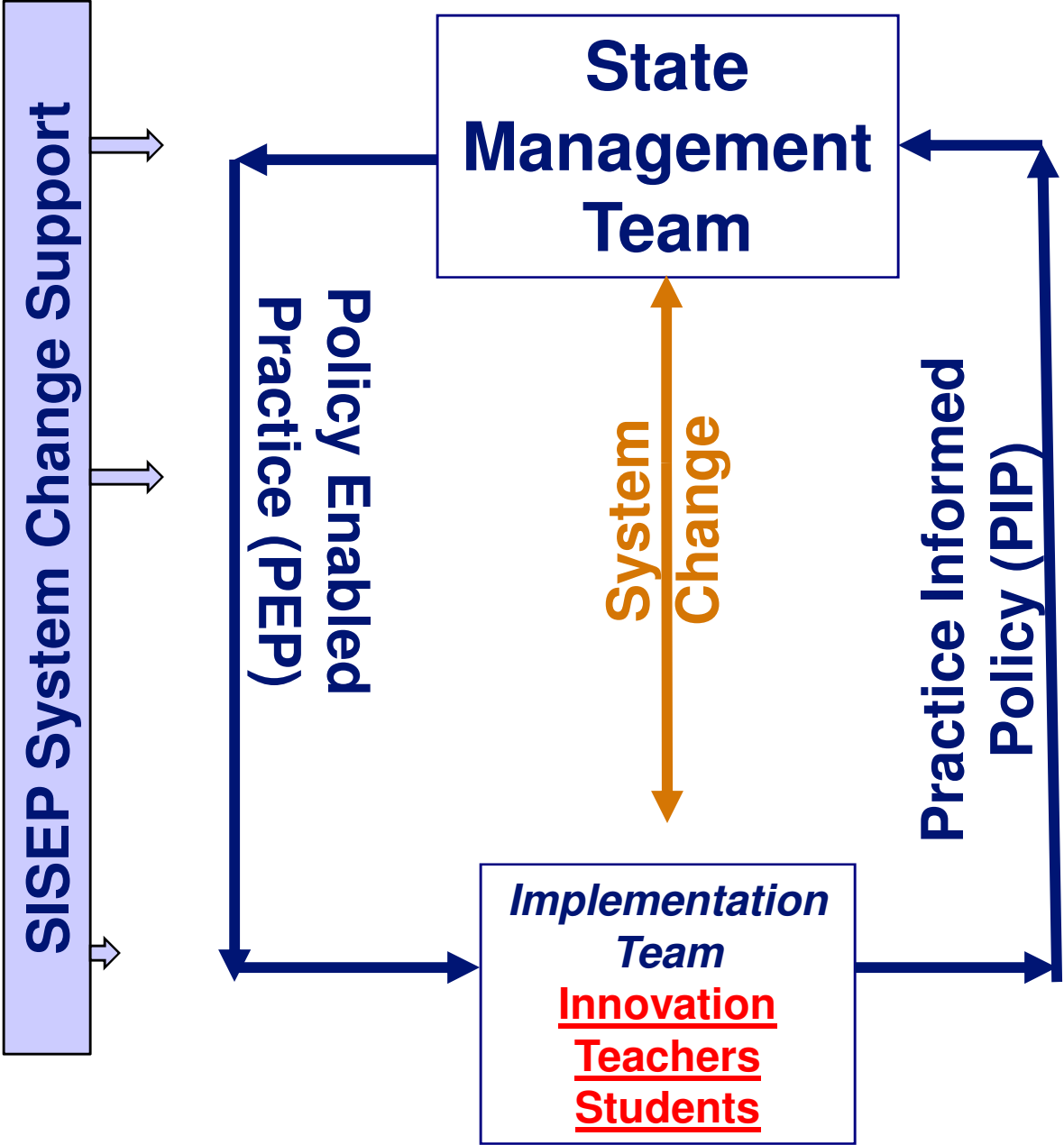
3. Select a Transformation Zone (2 selected by March 2009)

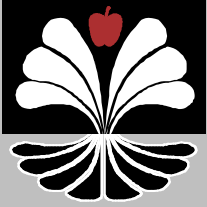
- A “vertical slice” of the education system – from the classroom to the Capitol
 - The “slice” is small enough to be manageable and large enough to include all aspects of the system
 - Reinforce strengths; Surface and solve issues; Manage risks and change processes



Transformation Zone

- **10 AREAs in the State (many States have “intermediaries” with lots of authority)**
 - **The AREA (near the Capitol) includes 10 regional offices, 108 school districts, 440 schools, and 20 cities**
 - **The Transformation Zone includes 1 AREA, 2 regional offices, 23 districts, 106 schools, 2 cities plus suburbs, & 2 counties (a “vertical slice” of the system)**
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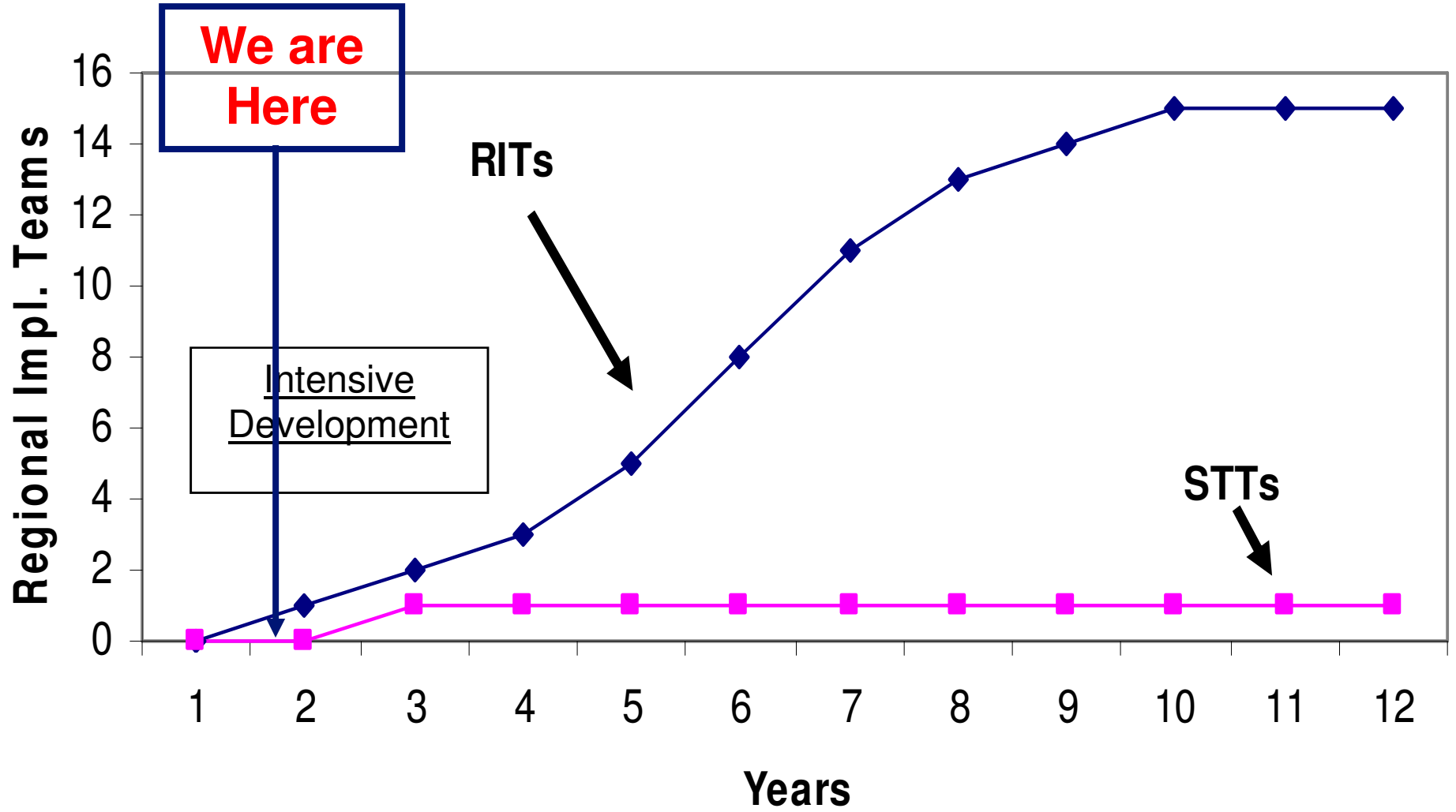




SUMMARY

- **Scaling up design is working (so far)**
 - **Filling in many holes with new materials and methods (e.g. assess current implementation capacity; define a Transformation Zone; create a Scaling Up and Implementation Institute)**
 - **Much that is still untested!**
 - **Biggest challenge: How to measure system change and assess SISEP performance**
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State Capacity Development





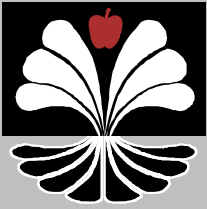
NEXT YEAR

Implementation occurs in stages:

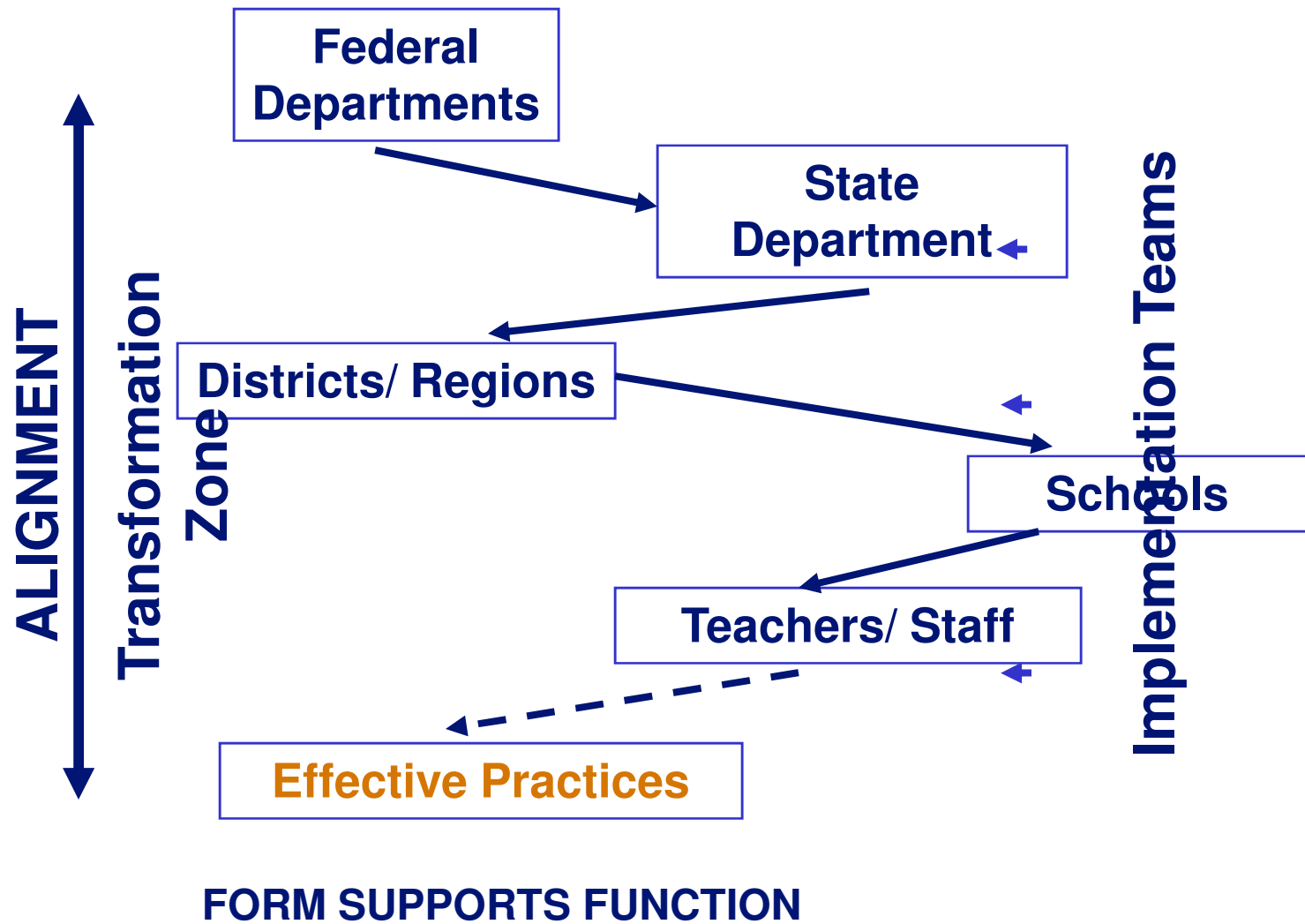
- **Exploration**
- **Installation**
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- **Innovation**
- **Sustainability**



2 – 4 Years



SYSTEM ALIGNMENT





Scale Up Website

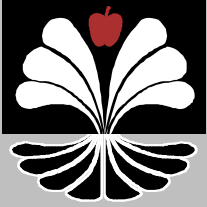
www.scalingup.org

“Just for States”

- Selection criteria/ rationales

“Resources”

- Concept paper
 - Annotated bibliography
 - **Scaling up, Readiness, & Intensive Technical Assistance “Briefs”**
-



Thank You

We thank the following for their support

- **Annie E. Casey Foundation (EBPs and cultural competence)**
 - **William T. Grant Foundation (implementation literature review)**
 - **Substance Abuse and Mental Health Services Administration (implementation strategies grants; NREPP reviews; SOC analyses of implementation; national implementation awards)**
 - **Centers for Disease Control & Prevention (implementation research contract)**
 - **National Institute of Mental Health (research and training grants)**
 - **Juvenile Justice and Delinquency Prevention (program development and evaluation grants)**
 - **Office of Special Education Programs (Capacity Development Center contract)**
 - **Agency for Children and Families (Child Welfare Leadership Development contract)**
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**State Implementation and Scaling up of
Evidence-based Practices**

National Implementation Research Network

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<http://nirn.fpg.unc.edu>
